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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/895,557	06/29/2001	Andrew V. Anderson	42390.P9765X	6490

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EXAMINER
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CHANKONG, DOHM

ART UNIT	PAPER NUMBER
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2152

MAIL DATE	DELIVERY MODE
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06/16/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 09/895,557	<b>Applicant(s)</b> ANDERSON ET AL.	
	<b>Examiner</b> DOHM CHANKONG	<b>Art Unit</b> 2152	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 05 March 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-33 and 36-39 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-33 and 36-39 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### DETAILED ACTION

- 1> This action is in response to Applicant's amendment, filed 3.5.2008. Claims 1, 11, 21, 27, and 31 are amended. Claims 1-33 and 36-39 are presented for further examination.
- 2> This is a final rejection.

### *Response to Arguments*

- 3> Applicant's arguments with respect to claims 1-33 and 36-39 have been considered but are moot in view of the new ground(s) of rejection necessitated by Applicant's amendment.

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

- 4> Claims 1, 3-6, 8-10, 11, 13-16, 18-25, 27-29, 31-33, 36 and 38 are rejected under 35 U.S.C § 103(a) as being unpatentable over Horvitz et al, U.S Patent Publication No. 2003|0046421 ["Horvitz"], in view of Horvitz, U.S Patent Publication No. 2002|0087649 ["Horvitz '649"], in further view of Alexander et al, U.S. Patent No. 6.640.230 ["Alexander"].
- 5> Alexander was cited in the PTO-892 filed on 3.20.2007.

6> It is noted that the instant application has a filing date of June 29, 2001 and is a continuation-in-part of application of 09/865,919 [“’919 application”], which has a filing date of May 24, 2001. Horvitz ‘649 has a filing date of June 14, 2001 and is relied upon to teach the newly amended limitations of calculating geographic coverage and network limitations. These features which are described in the instant application’s specification are not described or even mentioned in Applicant’s ‘919 application. Thus, these features are not entitled to the May priority date and Horvitz ‘649 is prior art at least to these features.

7> Regarding claims 1, 11, 21, 27, and 31-32, Horvitz discloses a method, a computer readable medium comprising instruction and a digital assistant, e.g., computing device (“system”, hereinafter), comprising, steps, means and executable instructions for:

providing a digital assistant having an event detector and an agent selector [Figure 3 | 0075, 0076];

receiving by the event detector the information of an event from an information provider [Figure 1 | Fig. 27 where : Horvitz’s message controls receives information that a message has arrived for user (event)];

determining by the event detector the level of importance of the event relative to a user of the digital assistant [0009, 0011, 0014-15, 0065, 0076, 0113 where : each arriving message is given a priority (level of importance)];

weighing by the agent selector the level of importance of contacting the user of the digital assistant against an amount of intrusion to the user if the digital assistant takes an action to resolve the event itself, wherein the level of importance of the event and the

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intrusion to the user is determined by rules specified by user preferences in profile information defined by the user [Figures 8-26 | 0108, 0275 | 0011, 0015, 0068 : see response to arguments above];

handling by the digital assistant the event without contacting the user if the level of importance of the event is greater than or equal to a first threshold and less than or equal to a second threshold [Fig. 23-26 | 0017, 0074-75, 0083, 0108, 0275 where : user is not contacted if the message priority is not high enough to disturb the user (if he is in a critical, or more important, meeting). See also response above];

the agent selector searching the user preferences in profile information for an indication of a preferred mechanism to contact the user in order for the user to resolve the event if the level of importance is greater than the second threshold [0083, 0107]; and

contacting by the digital assistant the user in order for the user to resolve the event if the level of importance is greater than the second threshold [0075, 0076, 0103 where : if the message has a high enough priority, the assistant automatically forwards it to the user through his devices so he can immediately read ("resolve") the message].

Horvitz fails to expressly disclose two claimed features:

(1) the handling of the event including using profile information of the user of the digital assistant, rules set by the user, technological obstacles, geographical obstacles, and any other previously attempted contacts to determine whether to contact someone associated with the event and who to contact associated with the event, in order to resolve the event; and

(2) that the agent selector calculating geographic coverage and network limitations for determining a preferred mechanism to contact the user.

As to the first missing feature, using profile information of the user of the digital assistant, rules set by the user, technological obstacles, geographical obstacles, and any other previously attempted contacts to determine whether to contact someone associated with the event and who to contact associated with the event, in order to resolve the event, was a well known in the art. For example, Alexander discloses such a feature in his invention directed towards a calendar driven technique for preparing responses to incoming events [abstract]. Specifically, Alexander discloses using profile information of the user as well as rules, technological obstacles, geographical obstacles to determine whether to contact someone associated with the event and who to contact associated with the event in order to resolve the event [Figure 10 «item 1090» | Figure 11 | column 7 «line 57» to column 8 «line 16» : using the user's calendar, rules, user's capabilities, "where a user happens to be" and "what devices the user has access to" to determine whether to contact a "designated alternate contact" | column 9 «lines 12-15»].

It would have been obvious to one of ordinary skill in the art to have modified Horvitz's notification system to include Alexander's functionality for determining who to contact associated with an event. One would have been motivated to incorporate Alexander's teachings as it would improve Horvitz's system by enabling a calendar-driven driven determination to best resolve incoming events [see Alexander, column 2 «line 65» to column 3 «line 4»].

As to the second missing feature, calculating geographic coverage and network limitations was well known in the art at the time of Applicant's invention. Horvitz '649 discloses calculating geographic coverage and network limitations for determining a preferred mechanism to contact the user [0339 : where Horvitz '649 discloses looking at certain contextual attributes such as a user's location and whether the location receives intermittent coverage to determine whether to contact the user].

It would have been obvious to one of ordinary skill in the art to have modified Horvitz with Horvitz '649's teachings of using geographic coverage and network limitations such as transmission reliability to determine how to contact a user. Such a feature improves Horvitz's notification system because it provides additional reliability that the notification will be received by the user.

8> Regarding claims 3, 4, 13, 14, 23, 24, Horvitz discloses referring to information concerning the location of activities in which at least one person is engaged provided by a calendar [0075, 0076].

9> Regarding claims 5, 15 and 25, Horvitz discloses, user's activity includes locate user whereabouts [Fig. 34 | 0262-0264].

10> Regarding claims 6 and 16, Horvitz discloses taking into account a limitation on a way of contacting at least one person arising from where said at least one person is located [0075].

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11> Regarding claims 8-9, 18-19 and 33, Horvitz discloses the invention substantially, as described in claims 1, 11 and 21, but it is silent on determining importance level includes consideration whether an earlier attempt was made to contact a person or rule permit action to be taken. However, such limitation is a variation of factors, which could be easily specify within scope of Horvitz teaching to perform a desirable task without modifying conceptual design. Thus, specifying detail factors as claimed, would have been obvious to one of ordinary skilled in the art at the time of the invention was made that was a matter of implementation choice, which an artisan could have used the system as taught by Horvitz to do so.

12> Regarding claims 10 and 20, Horvitz discloses, referring to information exceptions to those rules [user-profile allow user to exclude message to be delivered, Horvitz, Fig. 14-16].

13> Regarding claims 22 and 28, Horvitz discloses evaluating the effect of the passage of time since a previous attempt to contact at least one person was made on the level of importance of the event [0075].

14> Regarding claim 29, Horvitz discloses referring to information concerning activities in which at least one person is engaged [0075].

15> Regarding claims 36 and 38, Horvitz discloses, using threshold to determine importance level of an event, based on the outcome, decides whether to take further action



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such as forward message, notifying a person as discussed above. Hence, determining whether opportunity exists for taking action is an inherent feature.

16> Claims 2, 12, 37 and 39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Horvitz in view of Horvitz '649 and Alexander, in further view of what was well known in the art.

17> Regarding claims 2 and 12, Horvitz discloses the invention substantially, as described in claims 1 and 11, but fails to include the teaching of determining importance level by comparing subject of message with a list of subject. Official Notice is taken that level of determining level of importance by comparing subject with a list of subject of interest was well known and widely utilized in messaging communication art, e.g., e-mail filtering or messages subscribing system, in which allows its subscribers to specify the subject of interest in their profile for future comparison.

Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention was made that to modify level of importance determination by including well known technique in the art to expand the utility of the system.

18> Regarding claims 37 and 39, Horvitz discloses the invention substantially, as claimed, as described in claims 21 and 27, including substantially as described in their base claims including, inherently teaches ceasing to take action, since the action taking is dictated by level of threshold. Horvitz does not explicitly disclose the system include logging inaction

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event. Official notice is taken that logging information were notorious at the time of the invention was made. Thus, to include a well known event logging for record action taken event would have been obvious to one of ordinary skilled in the art, because such inclusion would simplify system's activity analysis.

19> Claims 7, 17, 26 and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Horvitz in view of Horvitz '649 and Alexander, in further view of Fisher et al (US. 5,835,896).

20> Regarding claims 7, 17, 26 and 30, Horvitz discloses the invention substantially, as described, in paragraph 7 above, including, referring to information concerning the user's preferences to determine if the user would prefer that action be taken on behalf of the user to respond to the event without contact any person (§ 10, 70 and 79).

Despite the fact that Horvitz is silent on a feature of determining a price limitation for any action to be taken.

However, price limitation determination concept is not new, it has been utilized in convention proxy auctioning, in which a proxy bidder, e.g., computer software, is capable of determining price limitation and taking action without contact any person, i.e., bidding, increasing price, as long as price limitation is below or equal to a predetermined threshold. For instance, in the same field of endeavor, Fisher discloses an inventive concept as such (Abstract; Col. 1, line 56 - Col. 2, line 67; Col. 12, line 63 - Col. 13, line 25).

Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to expand a capability of assigning action taking task without contacting any person to taking action requiring payment, such as automatically bidder as suggested in Fisher, in order to enhance ability of a person who located remotely from e-commerce or auction site to progressively interacting with e-commerce or auction process without having to stay in front of computer or auction terminal, but still maintaining a capability of interacting or auctioning, which would tremendously convenient for the bidder or the user.

### *Conclusion*

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to DOHM CHANKONG whose telephone number is (571)272-3942. The examiner can normally be reached on Monday-Friday [8:30 AM to 4:30 PM].

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bunjob Jaroenchonwanit can be reached on 571.272.3913. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/D. C./  
Examiner, Art Unit 2152

/Jeffrey Pwu/  
Supervisory Patent Examiner, Art Unit 2146